



01-07-05

2172
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Express Mail No.: EV 506741993 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Peng

Serial No.: 09/840,739

Group Art Unit: 2172

Filed: April 24, 2001

Examiner: To, Baoquoc N.

For: APPARATUS AND METHODS FOR
INTELLIGENTLY CACHING APPLICATIONS
AND DATA ON A GATEWAY

Attorney Docket No.: 10480-013-999

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22323-1450

Sir:

In accordance with the duty of disclosure provisions of 37 C.F.R. §1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the application.

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b) or within three months of the filing date of a national application; or before mailing date of first office action on the merits; whichever occurs last
- ☐ under 37 CFR 1.97(c) together with either a:
 - ☐ Statement under 37 CFR 1.97(e), or
 - ☐ a \$180 fee under 37 CFR 1.17(p), or
(after the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with a:
 - ☐ Statement under 37 CFT 1.97 (e)(1) or (2), and
 - ☐ a \$180 fee under 37 CFR 1.17 (p)
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Please charge to Deposit Account# 502051 the sum of: \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 502051 pursuant to 37 CFR 1.25.

- ☒ Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Statement together with any required copies of patents, publications or other information of which applicant(s) are aware,


which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

- ☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on Form PTO 1449 and is enclosed herewith.
- ☒ No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor a representation that a search has been made (other than a search report of a foreign counterpart application or PCT International Search Report if submitted herewith). 37 C.F.R. §§1.97(g) and (h).

Respectfully submitted,

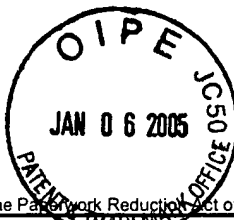
Date 1-6-05

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PTO/SB/08A (08-03)

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	09/841,777
Filing Date	4/24/2001
First Named Inventor	Peng
Art Unit	2172
Examiner Name	To, Baoquoc N.
Attorney Docket Number	10480-013-999

Sheet 1 of 1

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 20020174189	11-21-2002	Peng	First Office Action
		US- 20020156863	10-24-2002	Peng	First Office Action
		US- 6,651,141B2	07-4-2002	Adrangi	
		US- 6,742,084	05-25-2004	Defouw	
		US- 20020087798	07-04-2002	Perincherry	
		US- 20020007402	01-17-2002	Huston et al.	
		US- 6,148,340	11-14-2000	Bittinger et al.	
		US- 5,893,920	04-13-1999	Shaheen et al.	
		US- 6,029,175	02-22-2000	Chow et al.	
		US- 6,256,712	07-03-2001	Challenger et al.	
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FOREIGN PATENT DOCUMENTS

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/841,777	
	Filing Date	April 24, 2001	
	First Named Inventor	Peng, Luosheng	
	Group Art Unit	2172	
	Examiner Name	Baoquoc To	
Total Number of Pages in This Submission	4	Attorney Docket Number	10480-013-999

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Roxana H. Yang, Esq. (Reg. No. 46,788)
Signature	
Date	January 6, 2005

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